

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : YU, William
Appl. No. : 10/500,850
Filed : July 7, 2004
Title : AN ECCENTRIC PLANAR FLUORESCENT TUBE

Grp./A.U. :
Examiner :

Docket No.: 14231NP

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

REPLY TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

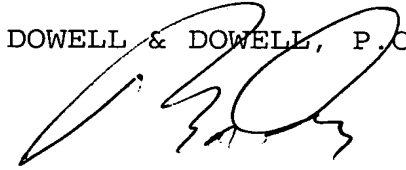
Sir:

In response to the Notification, we are enclosing a copy of the Notification dated December 16, 2004 along with a signed Declaration and Power of Attorney with respect to the above referenced application. It is believed that this now completes all National Phase filing requirements.

Please note we are also attaching a copy of a Refund Request which we filed on July 15, 2004 to recoup one-half of the filing fees, since we submitted payment based on a large entity, however, our client informed us after the National Phase was entered that they were entitled to small entity status. Also enclosed is a copy of the acknowledgment from the Patent Office acknowledging receipt of our refund request. However, as of this date, we have no record

of receipt of the refund. It would be appreciated if the PCT Branch would advise us when the refund will be issued. If the refund has been issued, it would be appreciated if you would advise us of the manner in which the refund was made and when such a refund was made.

Respectfully submitted,
DOWELL & DOWELL, P.C.



DOWELL & DOWELL, P.C.
Suite 406, 2111 Eisenhower Avenue
Alexandria, VA 22314
Telephone: (703) 415-2555

Ralph A. Dowell
Registration No. 26,868
Date: February 16, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/500,850	FIRST NAMED APPLICANT MAIL William Yu	ATTY. DOCKET NO. 14231NP
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000293

Ralph A. Dowell of DOWELL & DOWELL P.C.
 2111 Eisenhower Ave.
 Suite 406
 Alexandria, VA 22314

RECEIVED

INTERNATIONAL APPLICATION NO. PCT/CN03/00008	
IA. FILING DATE 01/07/2003	PRIORITY DATE 07/01/2002

CONFIRMATION NO. 8677

371 FORMALITIES LETTER



OC000000014769970

Date Mailed: 12/16/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/07/2004
- Copy of the International Search Report filed on 07/07/2004
- Copy of IPE Report filed on 07/07/2004
- Copy of references cited in ISR filed on 07/07/2004
- U.S. Basic National Fees filed on 07/07/2004
- Priority Documents filed on 07/07/2004

MAIL**RECEIVED**

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

COPY

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/500,850	PCT/CN03/00008	14231NP

FORM PCT/DO/EO/905 (371 Formalities Notice)

COPY

PROPERTY OF: DOWELL & DOWELL, P. C., Ste 303, 1215 Jeff. Davis Hwy, Arlington, VA 22202, Telephone (703) 415-2555
THE U.S. PATENT AND TRADEMARK OFFICE STAMP INDICATES DATE OF FILING
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
THE FOLLOWING MARKED DOCUMENTS

Applicant : William Yu
Appl. No. : 10/500,850
Filed : July 7, 2004
Title : AN ECCENTRIC PLANAR FLUORESCENT TUBE

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Examiner :

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REQUEST FOR REFUND OF ONE-HALF FILING FEES
APPLICANT QUALIFIES AS SMALL ENTITY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Honorable Commissioner of Patents
Alexandria, VA 22313-1450

REQUEST FOR REFUND OF ONE-HALF FILING FEES
APPLICANT QUALIFIES AS SMALL ENTITY

Sir:

On July 7, 2004 we entered the National Phase in the US Patent with respect to the above referenced application. The filing fees of \$1210.00 were submitted at the time of filing. We have been advised that the applicant qualifies for reduce fees based on the status as a small entity. Therefore, we would appreciate a refund of one-half of the filing fees in the amount of \$605.00 be issued to us by way of a check to Dowell & Dowell, P.C., and sent to our address, Suite 309, 1215 Jefferson Davis Highway, Arlington, VA 22202.

If the Patent Office has any questions with respect to this request, it would be appreciated if they would contact the undersigned attorney-of-record at the telephone number shown below to further expedited the issuance of the refund.

Appl. No.

COPY

Respectfully submitted,

DOWELL & DOWELL, P.C.

By 

Ralph A. Dowell, Reg. No. 26,868

Date: July 15, 2004

DOWELL & DOWELL, P.C.

Suite 309, 1215 Jefferson Davis Highway

Arlington, VA 22202

Telephone - 703 415-2555

Facsimile - 703 415-2559

E-mail - dowell@dowellpc.com



COPY

14231NP

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 12, 2004

MAIL

AUG 17 2004

RECEIVED

DOWELL & DOWELL, P.C
1215 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202
US

Dear Sir/Madam,

This is to acknowledge receipt of your refund request in the amount of \$605.00 for patent/application/serial number 10500850.

Your request has been forwarded to PCT - National for review and processing.

To inquire about the status of your refund request, please call 703 305-3668.

703 308 9140

Thank you,

Refund Branch, Office of Finance

Left message 12/22/04 for Rita White